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RECORDATION DATE: 06/09/2000

REEL/FRAME: 010890/0452

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BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:

CORNING, DOUGLAS

DOC DATE: 05/24/2000

ASSIGNOR:

LERA, NATALIE

DOC DATE: 05/24/2000

ASSIGNOR:

KWAN, JENNIFER

DOC DATE: 05/23/2000

ASSIGNOR:

WAYSHAK, CORINNE

DOC DATE: 05/23/2000

ASSIGNOR:

BURMESTER, CHRISTOPHER

DOC DATE: 05/23/2000

ASSIGNEE:

CHARLES SCHWAB & CO.
101 MONTGOMERY STREET
SAN FRANCISCO, CALIFORNIA 94104



101397440

documents or copy thereof.

To the Commissioner of Patents and Trademarks

1. Name of conveying party(ies):

Douglas Corning
Natalie Lera
Jennifer Kwan
Corinne Wayshak
Christopher Burmester

Additional name(s) of conveying party(ies) attached?

☐ Yes ☒ No

2. Name and address of receiving party(ies):

Name: Charles Schwab & Co.

Internal Address:

Street Address: 101 Montgomery Street

City, State and Zip: San Francisco, California 94104

Additional Name(s) & address(es) attached?

☐ Yes ☒ No

3. Nature of Conveyance:

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other

Execution Date: 5/23/00 & 5/24/00

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: June 8, 2000

A. Patent Application No.(s)

Utility Appln. based on Prov. Appln. No. 60/190,739

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

09591912

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Gerald T. Sekimura

Internal Address: Limbach & Limbach L.L.P.

Street Address: 2001 Ferry Building

City, State, ZIP: San Francisco, California 94111

Telephone: (415) 433-4150

Facsimile: (415) 433-8716

Attorney Docket No. SCHW-410 [99/806]

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41):.....\$ 40.00

- ☒ Enclosed
☒ Charge any deficiencies in the enclosed fee to
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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Gerald T. Sekimura, Reg. No. 30,103

Name of Person Signing

Signature

Date

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ASSIGNMENT

WHEREAS, WE, Douglas Corning, Natalie Lera, Jennifer Kwan, Corinne Wayshak, and Christopher Burmester hereinafter referred to as "ASSIGNORS", have invented certain new and useful improvements as described and set forth in the below-identified application for United States Letters Patent:

Title of Invention: METHOD AND SYSTEM FOR BUILDING AND MAINTENANCE WATCH LISTS
 Application (Declaration/Oath) Execution Date: May 23 & 24, 2000
 Filing Date: _____ Application No.: _____

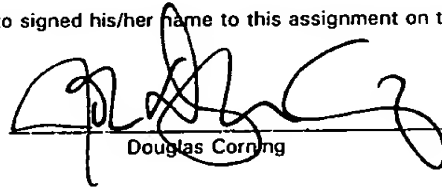
WHEREAS, Charles Schwab & Co., a corporation of the State of California, 101 Montgomery Street, San Francisco, California 94104 hereinafter referred to as "ASSIGNEE", is desirous of acquiring the entire right, title and interest in the said invention and application and in any Letters Patent which may be granted on the same;

NOW, THEREFORE, TO ALL WHOM IT MAY CONCERN: Be it known that, for One Dollar (\$1.00) and other good and valuable consideration, receipt of which is hereby acknowledged by Assignors, Assignors have sold, assigned and transferred, and by these presents do sell, assign and transfer unto the said Assignee, and Assignee's successors and assigns, all right, title and interest in and to the said invention, said application for United States Letters Patent, and any Letters Patent which may hereafter be granted on the same in the United States and all countries throughout the world including any divisions, renewals, continuations in whole or in part, substitutions, conversions, reissues, prolongations or extensions thereof, said interest to be held and enjoyed by said Assignee as fully and exclusively as it would have been held and enjoyed by said Assignors had this assignment and transfer not been made, to the full end and term of any such Letters Patent.

Assignors further agree that they will, without charge to said Assignee, but at Assignee's expense, cooperate with Assignee in the prosecution of said application and/or applications, execute, verify, acknowledge and deliver all such further papers, including applications for Letters Patent and for the reissue thereof, and instruments of assignment and transfer thereof, and will perform such other acts as Assignee lawfully may request, to obtain or maintain Letters Patent for said invention and improvement in any and all countries, and to vest title thereto in said Assignee, or Assignee's successors and assigns.

IN TESTIMONY WHEREOF, Assignor has hereunto signed his/her name to this assignment on the date indicated below.

Date: 5/24/00


 Douglas Corning

State of _____

County of _____

On _____ before me, _____

personally appeared _____ personally known to me or proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

WITNESS my hand and official seal.

 Signature of Notary

SEAL

IN TESTIMONY WHEREOF, Assignor has hereunto signed his/her name to this assignment on the date indicated below.

Date: 5/24/00

Natalie Lera
Natalie Lera

State of _____

County of _____

On _____ before me, _____

personally appeared _____ personally known to me or proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

WITNESS my hand and official seal.

Signature of Notary

SEAL

IN TESTIMONY WHEREOF, Assignor has hereunto signed his/her name to this assignment on the date indicated below.

Date: 5.23.00

Jennifer Kwan
Jennifer Kwan

State of _____

County of _____

On _____ before me, _____

personally appeared _____ personally known to me or proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

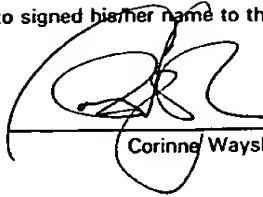
WITNESS my hand and official seal.

Signature of Notary

SEAL

IN TESTIMONY WHEREOF, Assignor has hereunto signed his/her name to this assignment on the date indicated below.

Date: 05/23/2000


Corinne Wayshak

State of _____

County of _____

On _____ before me, _____

personally appeared _____ personally known to me or proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

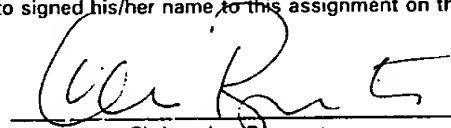
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Signature of Notary

SEAL

IN TESTIMONY WHEREOF, Assignor has hereunto signed his/her name to this assignment on the date indicated below.

Date: 05/23/2000


Christopher Burmester

State of _____

County of _____

On _____ before me, _____

personally appeared _____ personally known to me or proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

WITNESS my hand and official seal.

Signature of Notary

SEAL